

REMARKS

This response and request for continued examination are filed in response to the Advisory Action mailed September 13, 2005. This response amends claims 1, 11, 14, and 38 and adds claims 39-42. Following this amendment claims 1, 3, 5, 9-14, 16, 17 and 38-42 are pending in this application.

The Advisory Action rejected all of the previously pending claims under 35 USC 103(a) as being unpatentable over US Patent 6,526,285 (Matsumoto et al.) in view of US Patent 5,920,848 (Schutzer).

In the Advisory Action the Examiner "agrees that Matsumoto fails to teach user defined category tags." Adv. Action, p. 2. The Advisory Action, however, goes on to refer to Schutzer as teaching a learning in agent which monitors a user-defined classification and tracking of financial expenses. Adv. Action, p. 2. It is respectfully submitted that as previously discussed in the Response to the Final Office Action mailed to the USPTO on July 21, 2005, that the combination of the Matsumoto and Schutzer teachings would not appear to suggest the systems and methods recited by the previously pending claims. Further, it does not appear that the teaching regarding the tracking of personal expenses, relates to a watch list of securities being tracked. However, in order to expedite the prosecution of the present case and without prejudice to the filing of a continuation case, which could include claims along the lines of previously pending claims, all of the previously pending independent claims are amended as shown above.

Amended Claims 1, 14, and 38

The present amendment amends claims 1, 14 and 38 and adds new claims 39-42, which depend from claim 38. Each of the independent claims has been amended to include elements which are directly related to limiting the number items being tracked in the data list. Specifically, each of the claims provides for limiting the number of items in the data list to a predetermined number. Each of the claims further provides an element which provides for displaying the running total of the numbers actually being tracked in the data list, and also displaying the predetermined number of items to which the data list is limited.

It is respectfully submitted that the limiting of the data list to a predetermined number, in combination with displaying the predetermined number, and displaying the running total number of items being tracked provides a user with limitations in operation, and information which leads to efficient use of limited communication bandwidth. Indeed, the user will be able to see if the

actual number of items being tracked is approaching the predetermined limit and adjust the content of the data list accordingly. In addition to the operation of the data list, and the predetermined limit number of data list, the claims provide for the use of multiple user tags such that items being tracked in the data list can be included in multiple different user defined categories of items. It is respectfully submitted that the unique combination of elements corresponding to the claims provides for (1) providing a data list (2) limiting the number of items to a predetermined number of items (3) providing multiple user tags so that an item being tracked will be displayed in multiple sublists (4) displaying the running of the number of items being tracked, and (5) displaying the predetermined number which limits the total number of items which can be tracked in the data list. This combination of elements appears to be very different than anything disclosed in, or suggested by, any of the references. Thus, it is respectfully submitted that each of the independent claims 1, 14, and 38 are patentable over the references. It is further submitted that each of the dependent claims are patentable for at least the same reasons as their respective independent claim.

CONCLUSION

For the reasons set forth above, it is believed that all claims present in this application are patentably distinguished over the references. Therefore, reconsideration is respectfully requested, and it is requested that this application be passed to allowance.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-2001 under Order No. SCHB-3200. **A duplicate copy of the transmittal cover sheet attached to this Response is provided.**

Respectfully submitted,

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